

Stocks and Shares ISA to Principality Cash ISA Transfer Form

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Information about you	
Principality account number	
Title	
First name(s)	
Surname	
Date of birth	
National Insurance number	
Permanent home address	
Postcode	
Contact phone number	

Information about the Stocks and Shares ISA you want to transfer	
Name of existing ISA provider	
Address of existing ISA provider	
ISA Account number/reference	
<p>Please note – As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example:</p> <ul style="list-style-type: none">• Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.• Your existing ISA provider may need you to give them specific information before the transfer can go ahead.• There may be outstanding fees to pay before the transfer goes ahead. <p>If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.</p>	
1. Do you want to transfer all or part of this ISA?	All <input type="checkbox"/> Part <input type="checkbox"/>
<p>If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to Principality in its entirety.</p>	
2. Have you subscribed to this Stocks and Shares ISA in the current tax year?	Yes <input type="checkbox"/> No <input type="checkbox"/>
<p>If you answered 'All' to question 1, please go directly to the Transfer authority section overleaf. If you answered 'Part' to question 1, please answer questions 3 – 5 overleaf.</p>	

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Partial transfers

3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription?
(this would involve selling any investments made with the subscription from the current tax year)

Yes ☐ No ☐

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

4. If you only want to transfer your subscriptions from the current tax year ☐ Tick here

OR

If you only want to transfer all of your subscriptions from previous tax years ☐ Tick here

OR

If you only want to transfer some of your subscriptions from previous tax years
(as well as investments relating to current year subs if you answered yes to Q3). ☐ Tick here

Please provide sale instructions by answering question 5.

5. Stocks, Shares and/or Funds that you wish to sell

Please check your selection is permitted by your existing Stocks and Shares ISA provider prior to submitting the form.
Please note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the space below to give instructions to your current Stocks and Shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Principality Building Society. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Partial transfers Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

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Stocks, Shares and/or Funds that you wish to sell (cont)

5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer

OR

If you want to transfer all of your uninvested cash balance.

Transfer authority

I authorise my existing Stocks and Shares ISA provider as specified previously to sell investments and transfer the ISA in cash (account number above) to Principality Building Society.

I authorise my existing Stocks and Shares ISA provider to provide Principality Building Society with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer;

☐

Tick here

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied.

☐

Tick here

Signed

Date

Transfer acceptance by Principality Building Society

We are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.
- We must receive all the transfer proceeds no later than - -
- Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must be no more than £

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Residual income

- We must receive all residual income payments no later than - -
Any residual income payments after this date must be paid away to the customer.
- We do not accept residual payments if they are below £
These funds should be paid away to the customer.

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.

Date - -

Name of new ISA provider: Principality Building Society, PO Box 89, Queen Street, Cardiff, CF10 1UA.

Please return this completed form to:

FAO: Savings Team
Principality Building Society
Principality Buildings
PO Box 89
Queen Street
Cardiff
CF10 1UA

or, to your local Principality branch.

IMPORTANT: It can take up to 15 business days to process your transfer from the date we receive your form. For Stocks and Shares ISA transfers it can take 30 business days. If your transfer is not completed within 15 business days, please **contact us**.



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0330 333 4000*



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principality.co.uk



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