

## Broker registration form

Appointed representative  Directly authorised

### Information about you

|                                             |  |
|---------------------------------------------|--|
| Title                                       |  |
| Full name                                   |  |
| Firm/trading name                           |  |
| Firm FCA number                             |  |
| Office address (where you are based)        |  |
| Office telephone number                     |  |
| Mobile number                               |  |
| Email address (used throughout the process) |  |
| Individual FCA number                       |  |

### Network details

|                                                  |  |
|--------------------------------------------------|--|
| Network name                                     |  |
| Registered address                               |  |
| Network FCA number (Appointed representative of) |  |

### Panel history

|                                                                                                                           |  |
|---------------------------------------------------------------------------------------------------------------------------|--|
| Are you currently registered with Principality?<br>If so; under which FCA number?                                         |  |
| Have you ever previously been removed from another lender panel?<br>If yes; when and under what firm name and FCA number? |  |
| Have you ever previously introduced business to Principality?                                                             |  |

Please print, sign and complete the second page of this form to ensure it can be processed and your procuration fees can be paid.

# FORMS

## Bank details for procurement fee payments (Directly authorised only)

### If you are an 'AR' we will use the bank details of your network to make procurement fee payments

|                                                           |  |
|-----------------------------------------------------------|--|
| Account name                                              |  |
| Account number                                            |  |
| Sort code                                                 |  |
| Email address (for delivery of procurement fee statement) |  |

## Declaration

I declare that I have never made a fraudulent application for a financial product either for myself or on behalf of a client. I declare and understand that:

- i) Principality Building Society and its subsidiaries (referred to collectively and individually in this document as PBS) may share the information provided in my application to join the Principality Brokerpoint Panel (including the information above) with fraud prevention agencies and my company/network. If false, inaccurate or incomplete information is provided and fraud is suspected, PBS reserves the right to notify fraud prevention agencies accordingly, and law enforcement agencies in the UK and in other countries may access and use this information. PBS and other organisations may also access and use any of this information to prevent fraud and money laundering, for example when: checking details on applications for savings accounts and products or for credit and credit-related or other facilities; managing savings, credit and credit-related accounts or facilities; recovering debt; checking details on proposals and claims for all types of insurance; checking details of job applicants and employees, and considering applications to join a lender's panel of intermediaries, or undertaking a review of an existing panel. PBS and other organisations in the UK may access and use the information recorded by fraud prevention agencies.
- ii) If I submit a fraudulent or suspected fraudulent mortgage application to PBS on behalf of myself or a client, and PBS suspect that I knew, or could reasonably have been expected to have known (for example by carrying out proper checks) that the application was fraudulent, PBS reserve the right to notify fraud prevention agencies accordingly and law enforcement agencies in the UK and in other countries may access and use this information. PBS may disclose this information to my company/network, and relevant regulators. PBS and other organisations may also access and use any of this information to prevent fraud and money laundering, for example when: checking details on applications for savings accounts and products or for credit and credit-related or other facilities; managing savings, credit and credit-related accounts or facilities; recovering debt; checking details on proposals and claims for all types of insurance; checking details of job applicants and employees, and considering applications to join a lender's panel of intermediaries, or undertaking a review of an existing panel. PBS and other organisations in the UK or other countries may access and use the information recorded by fraud prevention agencies.
- iii) I agree to be bound by and comply with PBS's Brokerpoint Terms of Business for Use, as updated from time to time.  
Please telephone us on 0330 333 4000 if you want details of those credit reference and relevant fraud prevention agencies from whom we obtain and with whom we record information about you. You have a legal right to these details.

|            |  |
|------------|--|
| Print name |  |
| Signed     |  |
| Date       |  |

## Next steps

Please scan this signed document and email to [brokerpoint@principality.co.uk](mailto:brokerpoint@principality.co.uk)  
We aim to process all registration forms within 2 working days of receipt

Principality Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, reference number 155998.

\* To help us maintain our service and security standards, telephone calls may be monitored and recorded. Principality Building Society is authorised by the Prudential Regulation Authority and regulated by Financial Conduct Authority and the Prudential Regulation Authority, reference number 155998. Principality Building Society, Principality Buildings, Queen Street, Cardiff, CF10 1UA. [principality.co.uk](http://principality.co.uk)